

Archer® Corporate Obligations Management

Use Case for Regulatory & Corporate Compliance Management

The Challenge

Today's complex regulatory environment requires dealing with frequent changes to laws, regulations and industry requirements and a constantly shifting compliance landscape. Unfortunately, many organizations are ill equipped to handle the magnitude and volume of regulatory changes and the impact they may have. The lack of defined processes to monitor for changes, plus limited visibility into what may be looming on the horizon, exposes organizations to unnecessary risk. Without established processes, organizations often fall back on business controls that are based on outdated requirements or other ad-hoc methods in their attempts to adapt to changes in compliance obligations.

The lack of visibility and understanding of impacts from regulatory changes often results in business compliance gaps and the increased risk of penalties and reputational damage. With the rapid expansion of new and changing regulatory mandates, the lack of a flexible change management process further results in duplicative or conflicting control activities. Business controls that are implemented inconsistently become ineffective at protecting the organization, hindering true understanding how shifts in compliance obligations impact the organization.

Overview

Archer® Corporate Obligations Management provides the necessary tools and capabilities to document external regulatory obligations and establish a systematic review and approval process for tracking changes to those obligations, understanding the business impact, and prioritizing a response.

With Archer Corporate Obligations Management, you can quickly and accurately deliver guidance to senior management and the IT organization regarding regulatory and other compliance requirements the business must manage in conjunction with business operations. By improving the linkage between organizational compliance requirements and internal controls, compliance gaps are reduced and senior management gains better insight into issues that impact the business. Archer Corporate Obligations Management provides an agile policy framework to help you keep pace with changing business and IT compliance risk.

Key Features

- Impact analysis, change management, and issue management controls for corporate compliance obligations.
- Regulatory intelligence feeds to drive review and response activities.
- Exception management and governance through appropriate risk acceptance and sign-off.

Key Benefits

With Archer Corporate Obligations Management, you can:

- Ensure regulatory changes are analyzed and responded to appropriately.
- Improve the ability to link regulatory requirements to internal controls.
- Utilize less time and effort to research control requirements and modify policies in response to changing regulations.

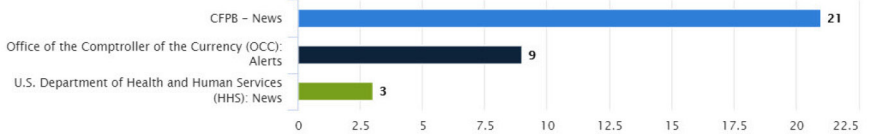


Compliance Analyst Quick Links

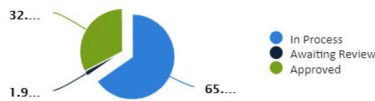
- Review Control Procedures**
Click here to review control procedures.
- Create New Evidence**
Click here to add to the evidence repository.
- Open New Finding**
Click here to create a new finding.

Unreviewed Regulatory Intelligence Items

Unreviewed Regulatory Intelligence Items



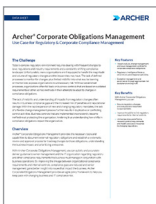
My Design Tests Queue



Policy Changes Requested

Tracking ID	Name of Change	Type of Change	Change Requestor	Status	Date of Request	Deadline Date
546550	Update Department Descriptions in Policy	Change Existing Content	Policy Owner, Scott	Request Under Review	6/19/2019	7/31/2019

Page 1 of 1 (1 records)



Discover More

Archer, an RSA company, is a leader in providing integrated risk management solutions that enable customers to improve strategic decision making and operational resiliency. As true pioneers in GRC software, Archer remains solely dedicated to helping customers understand risk holistically by engaging stakeholders, leveraging a modern platform that spans key domains of risk and supports analysis driven by both business and IT impacts. The Archer customer base represents one of the largest pure risk management communities globally, with over 1,500 deployments including more than 90 of the Fortune 100.