

Archer Evolv™ Compliance

Meeting regulatory and risk requirements is a formidable challenge. The complexity and volume of evolving regulations across industries and geographies can overwhelm even the most diligent compliance teams, making it difficult to prioritize and stay current with all requirements. Additionally, corporate policies must be managed alongside these regulations to ensure that internal policies align with external requirements.

Yet many organizations still rely on fragmented, manual approaches, such as scattered documents, disconnected tools, and siloed data, making it difficult to maintain a central view of obligations and policies which leads to inefficiencies, errors, and limited scalability.

As businesses grow, these outdated methods become unsustainable, leaving organizations vulnerable to non-compliance, reputational damage, and financial penalties. The cost of non-compliance is stark, with \$10.5 billion in regulatory fines collected from financial institutions in 2023 alone. Despite significant investments, many organizations struggle to achieve the effectiveness and efficiency needed to manage risk, compliance, and corporate policies in today's dynamic environment.

By building a strategy using transformative technology with an integrated approach to risk, and compliance, you are well positioned to overcome the data siloes and inefficiencies of manual processes. And in doing so, GRC teams can transform their role from a cost center and administrative burden to a strategic value driver.

The Archer Evolv Compliance Advantage

For over two decades, Archer has partnered with global organizations to tackle GRC complexities. Today, executives and boards demand streamlined, effective compliance strategies to mitigate risk and strengthen resilience. Archer Evolv™ Compliance answers this call by offering a scalable, programmatic solution that seamlessly connects regulatory intelligence with risk assessments, control frameworks, and audit capabilities.

Power Your Regulatory Change Program

Keeping up with new and evolving regulations has become increasingly difficult due to constant changes driven by legislation, industry developments, and regional differences. Archer Evolv Compliance provides horizon scanning to enable organizations to continuously monitor the global regulatory landscape and proactively identify and act on emerging regulations.

Using AI, Evolv Compliance filters out irrelevant updates, allowing compliance teams to focus on actionable insights and minimize manual effort. AI-driven categorization and parsing automates the extraction of critical information from complex legal documents and maintains version control to ensure organizations are always aligned with the latest standards and changes. Evolv Compliance enables you to build a catalog of regulatory intelligence to target a wide range of legislative impacts, data on enforcement actions, and other factors that will affect your overall compliance.

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Create a Centralized Global Obligations Library

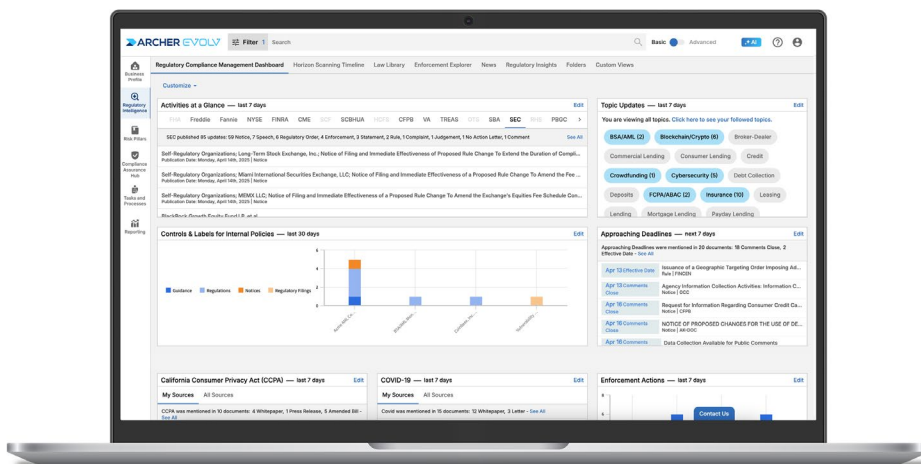
Without a centralized view of all obligations and policies, it can lead to inefficiencies, errors, and limited scalability. Leveraging AI, Evolv Compliance analyzes and integrates corporate policies with external regulations, identifying misalignments and conflicts and ensuring corporate policies align with external regulatory frameworks. It also categorizes, parses, and versions the content to develop a single, centralized source of global regulatory and non-regulatory obligations to maintain consistency across the organization and reduce the risk of non-compliance.

Identify and Resolve Gaps and Conflicts

Many organizations rely on reactive and fragmented manual processes to update controls, increasing the risk of gaps and conflicts. Evolv Compliance provides AI-powered gap analysis to identify gaps and conflicts between internal control procedures and policies and external regulations and their corresponding obligations. Proactive identification allows you to address potential compliance issues before they escalate into significant risks. By automating this process, Evolv Compliance reduces the manual effort required for gap analysis, ensures a more thorough, accurate assessment, and enables compliance teams to prioritize efforts and allocate resources effectively.

To resolve compliance conflicts, Evolv Compliance delivers AI-generated actionable recommendations based on historical data and contextual analysis, providing compliance professionals with precise steps to address issues. Automation significantly reduces the time required for decision-making, allowing organizations to implement corrective actions swiftly. This functionality is vital for maintaining operational continuity and demonstrating an initiative-taking approach to regulatory adherence.

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Optimize Your Audit and Compliance Strategy

Using a reactive approach increases regulatory and operational risks, and many organizations struggle to achieve the effectiveness and efficiency needed to manage risk, compliance, and corporate policies in today's dynamic environment. Evolv Compliance enables you to integrate with audit and compliance processes using established controls and align to your business requirements.

Continuous monitoring allows you to identify and assess both regulatory and operational risks in real time. This enables early detection of merging risks, vulnerabilities, and compliance issues, allowing for timely intervention and mitigation. Monitoring the effectiveness of controls provides assurance that risk mitigation measures are functioning as intended. By tracking control performance metrics and key risk indicators, you can identify control weaknesses and take corrective actions to strengthen its risk management framework.

Options Tailored to Your Organization

The Archer Evolv Compliance product portfolio includes a variety of solutions to meet your specific business needs:

- Archer Evolv Compliance Standard uses AI-powered compliance analytics with horizon scanning and automated workflows to ensure compliance. It automatically monitors global regulatory environments, uses AI to filter and categorize content, and delivers updates that are relevant to your business.
- Archer Evolv Compliance Professional builds on the capabilities of Archer Evolv Compliance Standard and also includes corporate policies. It uses AI to categorize, parse, and version the content to develop a centralized global library of both regulatory and non-regulatory obligations.
- Archer Evolv Compliance Enterprise builds on the capabilities of Archer Evolv Compliance Professional to provide a dynamic and actionable AI-powered solution. It enables you to align controls with business requirements and identify and remediate control gaps. With a fully integrated compliance and audit program, you gain visibility into how controls are performing and where failures may lead to specific regulatory impacts.

About Archer

For more than 20 years, Archer has pioneered holistic governance, risk and compliance (GRC) solutions that empower enterprise organizations to more effectively manage risk, ensure compliance, and address emerging challenges. Leveraging advanced technology like artificial intelligence (AI) and risk quantification, Archer's broad range of solutions and services provide our clients with a clear understanding of risk that drives strategic decision-making and operational resilience.

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