

# Archer Regulatory & Corporate Compliance Management

In today's complex regulatory environment, governmental and industry bodies frequently make changes to laws, regulations and industry requirements. Staying abreast of the large and growing number and variety of regulations that your organization faces can be a daunting task. From industry regulations to federal, state and local laws to data privacy mandates, organizations are compelled to establish processes for identifying regulatory requirements and implement measures and suitable processes to maintain compliance.

The growing amount of regulatory data coming in from a variety of sources and an increased focus on the processing of personally identifiable information (PII) makes it difficult to identify, prioritize and respond to issues that impact your business. Departments, business owners or team leads often must create unique ways to address policies and meet the regulatory obligations required to run their organizations. The resulting proliferation of spreadsheets, emails and data repositories spread across the organization to track compliance efforts contributes to a lack of coordination and accountability among the many different stakeholders.

Many times, different policies and regulations require business units to provide control attestations that are the same or similar across multiple compliance initiatives. As priorities change and resources stretch, staff begins to tune out and ignore these compliance requests, which further exposes the organization to an increased risk of fines and penalties due to noncompliance. These disconnected processes impact the organization's productivity as team members spend time chasing down information to meet various organizational policy and reporting requirements. Ultimately, these inefficient processes steal valuable resources away from the strategic initiatives that are critical to growing and sustaining the business.

## Drive down the cost of compliance

Your current approach to meeting regulatory and corporate compliance obligations is overwhelming your available resources, making it extremely difficult to keep up with the increasing pace of regulatory change. How do you respond when executives ask for compliance updates? How difficult is it to provide them the visibility they require, and can it be provided quickly and consistently? By consolidating regulatory data into a centralized repository and establishing a sustainable and consistent process for managing regulatory change, you can quickly and accurately comply with your regulatory obligations. And because you have consolidated your compliance efforts, you can now provide the executive team with a complete picture of the state of compliance across the organization in real time.

## The Archer Regulatory & Corporate Compliance Management advantage

Archer Regulatory & Corporate Compliance Management allows you to consolidate information from multiple regulatory bodies, document their impact on the business, and establish a sustainable, repeatable and auditable regulatory compliance and data privacy program.

### Take control of regulatory requirements

Managing the increasing velocity of new regulations and laws and prioritizing regulatory compliance activities is challenging. Resources can only react to current, known regulations and laws and are unable to proactively advise the organization about potential impacts from emerging, new and changing regulations.

With Archer, you can consolidate regulatory requirements into a consolidated repository and centralize news feeds from regulatory bodies into one searchable, standardized structure using prebuilt data feeds and workflows. Your organization can also document your regulatory impact analysis and supplement it with information from your research. This approach provides you with a clear and consolidated view of regulatory intelligence. It also allows you to map regulatory impacts across your entire organizational infrastructure by expanding your ability to manage and minimize the impact of regulatory change.

### Address compliance consistently

In many organizations, it is common for each business unit, department and team to have very different approaches in dealing with corporate policies, laws and regulations. Each team develops unique policies and tools, collects information and reports on compliance activities based on the way each interprets their obligations. This approach leads to duplication of common activities and burdens individuals with multiple requests for similar information. The result is an organization lacking a clear, consistent, scalable and measurable method for meeting its regulatory compliance obligations. This leaves the organization vulnerable to fines, penalties and reputational damage due to compliance failures.

Archer enables you to standardize your policy and compliance management activities across the organization, establishing a common taxonomy for developing measurable risk and compliance goals, processes and controls. This allows you to prioritize and manage corporate policies and regulatory compliance initiatives quickly and efficiently. By eliminating manual compliance activities that do not scale to your enterprise, you can implement a consistent and repeatable process for managing new and changing regulations and quickly determine the impacts of regulatory changes to the organization.

### Meet regulatory and compliance obligations

Organizations often do not have a complete view of the state of compliance without spending weeks sifting through controls, findings and other data. Your teams struggle to offer an accurate compliance picture to your executive team or board at a moment's

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notice; the aggregate effect raises the risk of costly, public compliance exposures and erodes the organization's ability to pursue strategic objectives. The bottom line: Without a solid understanding of the state of compliance across the organization, your executives' jobs are on the line.

By consolidating and centralizing your regulatory data with Archer, you can quickly produce real-time reports and user-specific dashboards to view regulatory news by provider, type and impact and monitor the overall status of the organization's regulatory compliance program. In addition, you can assign tasks to compliance staff in a consolidated system to monitor resource activities. You can also create exception requests, remediation plans and findings to correct any issues uncovered during the control testing process. This approach ensures that senior leadership always has a complete picture of the state of compliance and enables regulators to assess your organization's compliance to required obligations quickly.

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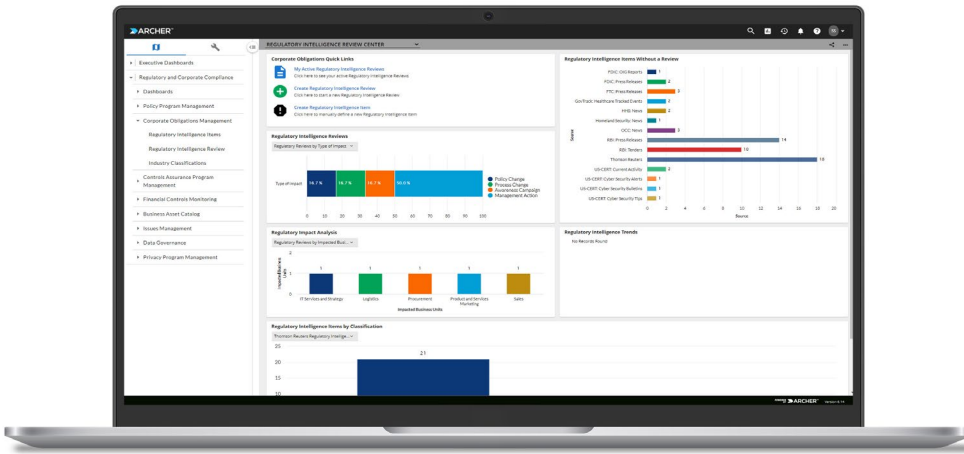
With Archer Regulatory & Corporate Compliance Management, you can obtain a clear view of the organization's state of compliance, enabling you to prioritize activities that address the regulatory requirements having the greatest impact on the business. Limiting overcompensating responses and wasted cycles preserves the ability to direct more resources back to strategic areas of the business.

Archer Regulatory & Corporate Compliance Management includes a number of use cases to meet your needs:

- **Archer Policy Program Management** provides the framework to help organizations establish a scalable and flexible environment to manage corporate and regulatory policies and ensure alignment with compliance obligations.
- **Archer Corporate Obligations Management** enables you to document external regulatory obligations and establish a systematic review and approval process for tracking changes to those obligations.
- **Archer Controls Assurance Program Management** provides a framework and taxonomy to systematically document the control universe and assess and report on the performance of controls at the business hierarchy and business process level. You can apply clear, accurate control guidance in support of any compliance objective.
- **Archer Controls Monitoring Program Management** extends the foundation established with Archer Controls Assurance Program Management with an approach to defining and managing separate compliance projects simultaneously.
- **Archer Data Governance** is designed to provide a framework to help organizations identify, manage and implement appropriate controls around personal data processing activities. It helps you maintain an accurate inventory of processing activities, establish and apply documented controls around the usage of PII, and manage data retention requirements.

- **Archer Privacy Program Management** is designed to enable organizations to catalog processing activities for the purposes of performing data protection impact assessments and tracking regulatory and data breach communications with data protection authorities.
- **Archer Regulatory Content Analysis** allows you to more effectively identify regulatory changes and ensure compliance while minimizing resource-intensive manual processes. Incorporating patent-pending technology, it utilizes natural language processing and machine learning to analyze how an organization maps existing regulations to controls.

With the constant influx of new and changing regulations, your organization needs to understand which ones are relevant to the business. With Archer Regulatory & Corporate Compliance Management, organizations can establish business context for compliance, identify and meet regulatory obligations, establish and implement compliance policies and standards, create and manage an integrated control framework, and provide compliance visibility to the executive team.



## About Archer

Archer is a leading provider of integrated risk management (IRM) solutions that enable customers to improve strategic decision-making and operational resilience with a modern technology platform that supports qualitative and quantitative analysis driven by both business and IT impacts. As true pioneers in GRC software, Archer remains solely dedicated to helping customers manage risk and compliance domains, from traditional operational risk to emerging issues such as ESG. With over 20 years in the risk management industry, the Archer customer base represents one of the largest pure risk management communities globally, with more than 1,200 customers including more than 50% of the Fortune 500.

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